

Doctoral Dissertation Topic and Committee: Request for Approval

(Please Type)

Candidate's Name: _____ Student ID#: _____

School: _____ Department / Program: _____ Degree Sought: _____

Having been admitted to candidacy for the above doctoral degree on _____ (specify date) the above candidate wishes to submit the following topic for the approval of the University:

Dissertation Title:

Supporting information concerning the topic and the projected research (methodology, purpose, contribution, etc.) is submitted on the attached pages(s). The topic will be investigated and the dissertation prepared under the direction of the following committee:

	Full Name, Highest Degree Held	Faculty Rank, Department and/or School
Major Professor:	_____	_____
First Reader:	_____	_____
Second Reader:	_____	_____
Additional Member: (if any)	_____	_____

Human Subjects

The proposed research does not involve human research subjects.
The proposed research does involve human research subjects, and requires full committee review.
The proposed research does involve human subjects, however is exempt under 45 CFR 46, para.46.101(b) (note appropriate subparagraph), and requires verification only.

Animal Subjects

The proposed research does not involve animal research subjects.
The proposed research does involve animal research subjects, and requires full committee review.

Proposed by: By signing, the candidate acknowledges that he/she has read and complied with the instructions for preparing a proposal.

Degree Candidate Date

Endorsed by:

Major Professor of Proposed Committee Date

Chair/Director of Department/Program (if applicable) Date

Dean of School Date

Recommended by:

Committee for the Protection of Human Research Subjects or Institutional Animal Care and Use Committee (if applicable) Date

Reviewed and Approved by External Reviewer Date _____

Approved by:

Vice Provost and Dean of Graduate Studies Date

Report of External Reviewer

Purpose and directions: The proposal received by the external reviewer has already been approved by the student's dissertation committee and his or her school. The purpose of the external review is to determine in a broad sense whether the proposal meets the standards of a doctoral dissertation. Please use the "Instructions for Preparing the Proposal" document as a rubric for evaluating the proposal. If the proposal meets the standards of a doctoral dissertation and follows the guidelines listed in the "Instructions for Preparing the Proposal" document, please check the "Approved" box and sign the approval form without adding additional comments. If the proposal could be improved, but it meets the requirements listed above, please check "Approved with minor revisions" and offer optional comments on this document. If, however, the proposal is either not sufficiently clear or it does not meet the requirements listed in the "Instructions for Preparing the Proposal", please check "Revision Required" and offer their comments on improving the proposal on this document. If the reviewer checks the "Revision Required" box, they can withhold their signature on this and request that the student resubmit a revised proposal that addresses the reviewer's concerns. The reviewer can also request a second reading of the proposal before granting approval for proceeding to the dissertation writing stage..

Candidate's Name: _____

Student ID#: _____

Approved

Approved with minor revisions

Revision Required

If "Revision Required", the external reviewer will re-read the proposal again before granting approval.

Signature of External Reviewer

Date

Name (Typed or Printed)

Date

Comments may be typed here or submitted on a separate page

External Reviewer Comments to the Dean (This will not be shown to the candidate):

External Reviewer Comments to the Candidate:

Instructions for Preparing the Proposal

The proposal, attachments and “Request for Approval” form should be *typed*. The proposal should not exceed *two pages*, with at least one-half inch margins, and the typeface should be no smaller than 12 point Times New Roman or equivalent. The first page should be headed by the full title of the proposed research and the candidate’s name. The title should be capitalized, both on the form and in the proposal itself, as it will appear in the final copy of the dissertation. The proposal should be concise, organized in a coherent manner, and include the following information:

1. A ***Statement of the Problem and Background***, which should identify the current state of relevant research and provide important background information.
2. A clear statement of the ***Purpose*** of the sponsored study, and the rationale or intellectual justification for the research. The research questions to be investigated should be clearly stated. If the study involves the testing of hypotheses, these should also be clearly stated.
3. A description of the proposed ***Methodology***. In the sciences, the following should be clearly described when applicable: the population to be sampled and the proposed sampling procedures; significant variables and how each is to be measured; how the data will be obtained and analyzed; and any other information needed to understand the proposed methodology. When the study is in the arts or in the humanities, the following should be clearly indicated: the nature of the data, information, or themes to be studied; the kinds of interpretive procedures to be employed; and the types of supporting evidence or arguments for the question investigated. A plan of scholarship that outlines the possible chapters of the dissertation may be included or attached. The bibliographic materials should inform the methods used.
4. The ***Contribution and Originality*** of the proposed study. The proposed research must be original and the proposal must indicate that identical research has not previously been conducted. The proposal must also clearly state the contribution that the researcher expects to make to the relevant field of knowledge.
5. If the relevant citations are not included within the body of the proposal, a brief ***Selected Bibliography*** containing the most important primary and secondary sources relevant to the study should be attached.
6. When the study involves human subjects, a short section addressing ***Human Subjects Concerns*** should note how subjects are recruited, how they are to be involved, and how the information on subjects will be protected. Additional detailed information is available from the Office of Sponsored Programs and Research Services for all research projects requiring review by the Committee for the Protection of Human Subjects as defined by the Code of Federal Regulations 45 CFR 46. If the preliminary determination of the candidate and Major Professor is that the proposal falls within an exempt category, please note the appropriate subparagraph under 45 CFR 46, para. 46.101(b), on the proposal form as indicated. Sample informed consent forms and any other appropriate supporting materials should be submitted with the proposal for review.
7. If any proposed committee member is an ***extern***, note that individual’s positions and organization under “Faculty Rank” and attach a resume or curriculum vitae to the proposal. Externs must have the requisite academic credentials and expertise in the field of study. They will be permitted to serve as the Major Professor only in extraordinary circumstances.

The information may be presented in a continuous paragraph format: however, the use of separate sections, clearly labeled as suggested above, is recommended, since this format facilitates the evaluation of the proposal. Additional requirements concerning the proposed methodology, other details of procedure, bibliographic information, etc., may be made by individual departments or schools. First person plural language (“we” and “our”) should be avoided. The researcher, even when investigating as part of a team, completes the dissertation as an individual project. If the dissertation is written in a language other than English, this fact must be noted in the proposal. When completing the proposal form, be sure that ***all*** requested information is provided.

This form with original signatures (Degree Candidate, Major Professor of Proposed Committee, Chair of Department if applicable, and Dean of School) and supporting documents, plus **one complete copy**, should be submitted to the Office of the Dean of Graduate Studies (116 McMahan Hall). Proposals are accepted for review during the period between the opening and closing classes during the Fall and Spring semesters. Proposals requiring Human Subjects clearance will be forwarded for review to the Office of Sponsored Programs and Research Services. Every proposal is reviewed by an anonymous member of the faculty identified by the Dean of Graduate Studies. If changes are required, the proposal is returned to the candidate with suggestions for revision. ***Candidates should not proceed beyond the preliminary stage in the investigation of the topic until receiving a copy of the form signed by the Dean of Graduate Studies.***